

SERIAL No. 10/038,493

MATTER No. DOCKET No. 020582/11

2183  
#4  
PUB

10-7-02

**PATENT**

**Applicant:** Ming Qiu

**Serial No.:** 10/038,493

**Filed:** December 31, 2001

**Title:** SERVER ARRAY HARDWARE  
ARCHITECTURE AND SYSTEM

**Examiner:** Not yet assigned

**Group Art Unit:** 2183

I certify that on **September 12, 2002**, which is the date I am signing this certificate, I am depositing this correspondence the United States Postal Service, first class mail, in an envelope addressed to the Commissioner of Patents, Washington, D.C. 20231

  
Deborah A. Purnell

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**RECEIVED**

Box DD  
Commissioner of Patents  
Washington, D.C. 20231

SEP 20 2002

Technology Center 2100

**INFORMATION DISCLOSURE STATEMENT [37 C.F.R. § 1.56]**

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant brings the references listed on the attached Form PTO-1449 to the examiner's attention. 37 C.F.R. § 1.56. Do not construe the filing of this information disclosure statement as a representation that applicant has made a search (37 C.F.R. § 1.97(g)), or as an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. We enclose copies of the cited documents.

This Information Disclosure Statement is being submitted:

- ☒ 1. Within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d), or within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or before the mailing date of a first Office action on the merits, and therefore, Applicant believes no fee is required;
- ☐ 2. After the period specified in paragraph (1) above of this section, but before the mailing date of either a final action under 37 C.F.R. § 1.113,

or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, and is accompanied by either:

☐ (a) A statement that:

(i) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months before the filing of the information disclosure statement; or

(ii) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months before the filing of the information disclosure statement; or

☐ (b) The fee of \$180 for filing of an Information Disclosure Statement under 37 C.F.R. § 1.17(p).

☐ 3. After the period specified in paragraph (2) of this section, but on or before payment of the issue fee and is accompanied by:

☐ (a) A statement that:

(i) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months before the filing of the information disclosure statement; or

(ii) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application,

and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months before the filing of the information disclosure statement;

☐ (b) A petition requesting consideration of the information disclosure statement; and


☐ (c) The petition fee set forth in 37 C.F.R. § 1.17(i).

Applicant would appreciate the examiner initialing and signing a copy of attached Form PTO-1449 indicating that he or she considered the information and made the information of record.

If the transmittal letter is separated from this document and the PTO determines that an extension or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 16-2230** referencing Matter No. 330118/24.

The Commissioner is hereby authorized to charge any additional fees which may be required while this application is pending in the Trademark Office, or credit any overpayment to Account No. 16-2230. A duplicate copy of this sheet is enclosed.

September 12, 2002



Louis J. Boyasso, Reg. No. 24,075  
Attorney for Applicant

**OPPENHEIMER**

OPPENHEIMER WOLFF & DONNELLY LLP

**OPPENHEIMER WOLFF & DONNELLY LLP**

2029 Century Park East, 38<sup>th</sup> Floor

Los Angeles, CA 90067-3024

Telephone: (310) 788-5000 • Fax: (310) 788-5100



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/038,493
				Filing Date	December 31, 2001
				First Named Inventor	QIU, Ming
				Art Unit	2183
				Examiner Name	To Be Assigned
Sheet	1	of	1	Attorney Docket Number	20582/11

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number -Kind Code <sup>2</sup> (if known)	Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-5,253,341	10-12-1993	Rozmanith, et al.
		US-		
		US-		
		US-		
		US-		
		US-		
		US-		
		US-		
		US-		
		US-		
		US-		
		US-		
		US-		

**RECEIVED**  
SEP 20 2002  
Technology Center 2100

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.